

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Gregor, et al.

FILED: November 15, 2004

SERIAL NO.: 10/579,356

FOR: Compositions and Methods for  
Synergistic Induction of Antitumor  
Immunity

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ART UNIT:

EXAMINER:

DOCKET:  
D6547

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. §371 IN THE  
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Dear Sir:

In response to Notification of Missing Requirements under 35 U.S.C. §371 in the  
United States Designated/Elected Office (DO/EO/US), mailed August 2, 2007, please consider  
the following remarks prior to examination of the above-referenced patent application.

Respectfully submitted,

Date: Nov 30, 2007  
ADLER & ASSOCIATES  
8011 Candle Lane  
Houston, Texas 77071  
Tel: (713) 270-5391  
Fax: (713) 270-5361  
BEN@adlerandassociates.com

  
Benjamin Aaron Adler, Ph.D., J.D.  
Counsel for Applicant  
Registration No. 35,423

## REMARKS

### Combined Declarations and Powers of Attorney

Four Combined Declarations and Powers of Attoreney signed by the Applicants are enclosed.

### Claim fees

Applicants enclose the \$300 due for 12 additional claims over 20 which amount was included in the Transmittal Letter for the instant U.S. national stage application, filed May 12, 2006, with an instruction to debit the undersigned's Deposit Account. However, a review of all Deposit Account Statements showed that only the basic national fee, the search fee and the examination fee were debited.

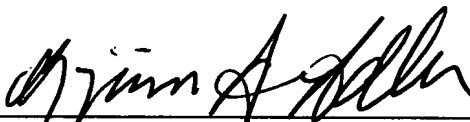
Applicants submit that this Response to Notification of Missing Requirements under 35 U.S.C. §371 in the United States Designated/Elected Office (DO/EO/US), mailed August 2, 2007, is complete. If any issues remain outstanding, please telephone the undersigned attorney of record for resolution. Applicants include a Petition for a Two Month Extension of Time and a copy of the Formalities Letter. Please charge the \$65 surcharge, the \$230 extension fee and the \$300 additional claims fee to the credit card identified on the enclosed Form PTO-2038. **Only in the absence** of Form PTO-2038, please debit any applicable fees from Deposit Account No. 07-1185, upon which the undersigned is allowed to draw.

12/04/2007 GFREY1 00000101 10579356

01 FC:2617	65.00 OP
02 FC:2615	300.00 OP
03 FC:2252	230.00 OP

Date: Mar 30, 2007  
ADLER & ASSOCIATES  
8011 Candle Lane  
Houston, Texas 77071  
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Respectfully submitted,

  
Benjamin Aaron Adler, Ph.D., J.D.  
Counsel for Applicant  
Registration No. 35,423

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

Dear Sir:

I hereby certify under 37 CFR 1.8 that the following correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- 1) Response to Notification of Missing Requirements (2 pgs).
- 2) Four (4) Combined Declarations and Powers of Attorney; and
- 3) Form PTO-2038.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date:

ADLER & ASSOCIATES

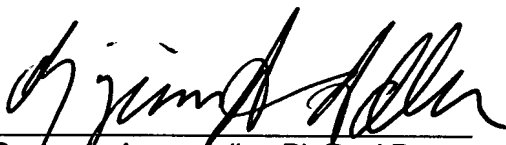
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Benjamin Aaron Adler, Ph.D., J.D.

Counsel for Applicant

Registration No. 35,423



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/579,356	Polly Gregor	D6547

INTERNATIONAL APPLICATION NO.
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PCT/US04/38022

I.A. FILING DATE	PRIORITY DATE
11/15/2004	11/13/2003

Benjamin Aaron Adler  
 Adler & Associates  
 8011 Candle Lane  
 Houston, TX 77071

CONFIRMATION NO. 7507

371 FORMALITIES LETTER



\*OC000000025135164\*

Date Mailed: 08/02/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/12/2006
- Copy of the International Search Report filed on 05/12/2006
- Preliminary Amendments filed on 01/31/2007
- Biochemical Sequence Diskette filed on 05/12/2006
- Oath or Declaration filed on 01/31/2007
- Biochemical Sequence Listing filed on 05/12/2006
- U.S. Basic National Fees filed on 01/31/2007
- Priority Documents filed on 01/31/2007

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$300 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,

must be submitted with the missing items identified in this letter.

# SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$365** for a Small Entity:

- **\$65** Surcharge.
- Total additional claim fee(s) for this application is **\$ 300**
  - **\$300** for **12** total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/579,356	PCT/US04/38022	D6547